



DPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al.  
Appl. No. : 10/811,153  
Filed : March 29, 2004  
Title : LIGHT-EMITTING DEVICES WITH FULLERENE LAYERS  
  
Grp./A.U. : 1774  
Examiner :  
  
Docket No.: 14657

Honorable Assistant Commissioner of Patents  
Alexandria, VA 22313-1450

Sir:

PTO CUSTOMER NO. 000293

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R., §§ 1.97-1.99, applicant submits the following information which may be of interest to the examiner in charge of the above referenced application for patent. Only copies of the non-US references listed on the attached Form PTO-1449 are attached.

Respectfully submitted,

DOWELL & DOWELL, P. C.

Ralph A. Dowell, Reg. No.: 26,868

Date: February 24, 2006

DOWELL & DOWELL, P.C.

Suite 406, 2111 Eisenhower Ave.

Alexandria, VA 22314

Telephone: (703) 415-2555

FORM PTO-1449  
(Rev. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 14657

Serial No.  
10/811,153LIST OF PRIOR ART CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT Lu

FILING DATE 3/29/04 GROUP 1774

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2002 0093006A1	7/18/02	Vardeny et al.			
	AB	US 2003 0042846A1	3/6/03	Forrest et al.			
	AC	4356429	10/26/82	Tang			
	AD	5171373	12/15/92	Hebard et al.			
	AE	5759725	6/2/98	Hirao et al.			
	AF	5776622	7/7/98	Hung et al.			
	AG	5861219	1/19/99	Thompson et al.			
	AH	6310360	10/30/01	Forrest et al.			
	AI	6486601	11/26/02	Sakai et al.			
	AJ	6515298	2/4/03	Forrest et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	WO 02 058110 A2	7/25/02	International			X	
	AL	JP 5331458	12/14/93	Japan			abstract in English	
	AM	JP04-144479	1992	Japan			abstract in English	

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

AN		Metal/Alq <sub>3</sub> Interface Structures, Turak et al., Applied Physics Letters, Vol. 81, No. 4, 22 July 2002, 766-768
AO		Organic Light-Emitting diodes with a Nanostructured Fullerene Layer at the Interface between Alq <sub>3</sub> and TPD Layers, Kato et al., Jpn. J. Appl. Phys. Vol. 42 (2003) pp 2526-2529, Part 1. No. 4B, April 2003

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 602; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.